

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/027,385	12/21/2001	Jeffrey E. McAninch	TWI-13510

CONFIRMATION NO. 1135

FORMALITIES LETTER



OC000000007525936

STALLMAN & POLLOCK LLP
Suite 290
121 Spear Street
San Francisco, CA 94105

COPY OF PAPERS
ORIGINALLY FILED

Date Mailed: 02/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/21/2002 CV0111 00000063 10027385

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130.00 0P

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
TWI-13510

In Re Application Of: **Jeffrey E. McAninch**

Serial No.
10/027,385

Filing Date
December 21, 2001

Examiner
Unknown

Group Art Unit
2877

Invention: **PURGE SYSTEM FOR OPTICAL METROLOGY TOOL**

**COPY OF PAPERS
ORIGINALLY FILED**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
February 25, 2002
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Request for Correction of the Official Filing Receipt

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
TWI-13510

In Re Application Of: **Jeffrey E. McAninch**

Serial No.
10/027,385

Filing Date
December 21, 2001

Examiner
Unknown

Group Art Unit
2877

Invention: **PURGE SYSTEM FOR OPTICAL METROLOGY TOOL**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☐ Utility application filing fee _____

☐ Design application filing fee _____

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims _____

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor _____

☐ Fee for processing an application filed with a non-English language specification _____

☐ Fee for processing and retention of application _____

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

Total fees due **\$130.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
TWI-13510

In Re Application Of: **Jeffrey E. McAninch**

Serial No.
10/027,385

Filing Date
December 21, 2001

Examiner
Unknown

Group Art Unit
2877

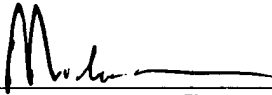
Invention: **PURGE SYSTEM FOR OPTICAL METROLOGY TOOL**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$130.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-1703**
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.
A duplicate copy of this sheet is enclosed.


Signature

Michael A. Stallman (Reg. No. 29,444)

**STALLMAN & POLLOCK LLP
121 Spear Street, Suite 290
San Francisco, CA 94105**

Telephone: (415) 512-1312

Dated: **March 6**, 2002

I certify that this document and fee is being deposited on **March 6**, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

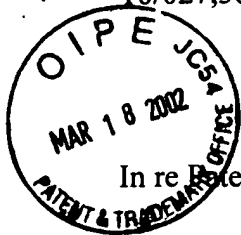

Signature of Person Mailing Correspondence

Georgia K. Stith

Typed or Printed Name of Person Mailing Correspondence

cc:

10/027,385



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Jeffrey E. McAninch

Application No.: 10/027,385

Filed: December 21, 2001

For: PURGE SYSTEM FOR OPTICAL
METROLOGY TOOL

Group Art Unit: 2877

Examiner: Unknown

**REQUEST FOR CORRECTION OF THE
OFFICIAL FILING RECEIPT**

121 Spear Street, Suite 290
San Francisco, CA 94105
(415) 512-1312

Box MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited
with the United States Postal Service as First Class Mail in an
envelope, addressed to: Commissioner for Patents,
Washington, DC 20231 on March 6, 2002.

STALLMAN & POLLOCK LLP

Dated: 03/6 /2002

By:

Georgia K. Stith
Georgia K. Stith

Sir:

Attached is a copy of the Official Filing Receipt which was received in our offices on
February 28, 2002, in connection with the above-identified application

The Official Filing Receipt is in error. Please amend the receipt as shown in red ink on
the attached copy as follows:

On the face of the Official Filing Receipt, immediately under the title "Domestic Priority
data as claimed by applicant," the text should read as follows:

THIS APPLN CLAIMS BENEFIT OF 60/283,115 04/11/2001

THIS APPLN CLAIMS BENEFIT OF 60/336,854 11/01/2001

Please forward the amended Official Filing Receipt to:

STALLMAN & POLLOCK LLP

Attn: Michael A. Stallman

121 Spear Street, Suite 290

San Francisco, CA 94105

In the event it is determined that a fee pursuant to 37 C.F.R. §1.19(h) is required in
connection with this request, the Commissioner is hereby authorized to charge payment of any


Atty Docket No.: TWI-13510

fees associated with this communication or credit any overpayment to Deposit Account
No. 50-1703, under Order No. TWI-13510. **A duplicate of this sheet is enclosed.**

Respectfully submitted,

STALLMAN & POLLOCK LLP

Dated: March 6, 2002

By: 
Michael A. Stallman
Reg. No. 29,444

Attorneys for Applicant(s)



COPY OF PAPERS
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MAR 18 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/027,385	12/21/2001	2877	1790	TWI-13510	11	55	8

CONFIRMATION NO. 1135

FILING RECEIPT



OC000000007525935

STALLMAN & POLLOCK LLP
Suite 290
121 Spear Street
San Francisco, CA 94105

Date Mailed: 02/25/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jeffrey E. McAninch, Livermore, CA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/283,115 04/11/2001

✓ THIS APPLN CLAIMS BENEFIT OF 60/336,854, FILED 11/01/2001

Foreign Applications

If Required, Foreign Filing License Granted 02/23/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Purge system for optical metrology tool

Preliminary Class

356

PRIOR ART STATEMENT DUE: (filed 02-08-02)

FOREIGN FILING LETTER DUE: —

FOREIGN FILING DEADLINE: 04-11-02

24 MONTH SUSPENSE DATE: 12-21-03

CAS

RECEIVED

FEB 28 2002

STALLMAN & POLLOCK LLP

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).